

PATENT Customer No. 22,852 Attorney Docket No. 08442.0002.02000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Joseph C. CAUTHEN et al.) Group Art Unit: 3738
Application No.: 10/075,615)) Examiner: U. Chattopadhyay \
Filed: February 15, 2002) Confirmation No.: 2841
For: SPINAL DISC ANNULUS RECONSTRUCTION METHOD AND SPINAL DISC ANNULUS STENT))))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

05/21/2004 CCHAU1 00000054 10075615 01 FC:1806 180.00 OP documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Dated: May 20, 2004

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

By: Eric P. Raciti

Reg. No. 41,475

INFORMATION DISCLOSURE CITATION

	INFORMATION	DISCLUSURE	CITATION	OIPE	
Atty. Docket No.	08442.0002-02	Appln. No.	10/075,615	(98)	
Applicant	Joseph C. CAUTHEN et al.			MAY 20 2004	
Filing Date	February 15, 2002	Group:	3738	· Or Ca.	

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,741,330	5/3/1988	Hayhurst			
	4,852,568	8/1/1969	Kensey			
	4,873,976	10/17/1989	Schreiber			
	4,890,612	1/2/1990	Kensey			
	5,021,059	6/4/1991	Kensey et al.			
	5,061,274	10/29/1991	Kensey			· · ·
	5,171,278	12/15/1992	Pisharodi	:		
	5,258,000	11/21/1993	Gianturco			
	5,269,783	12/14/1993	Sander			
	5,320,633	6/14/1994	Allen et al.			
	5,342,393	8/30/1994	Stack			
	5,356,432	10/18/1994	Rutkow et al.			
	5,366,460	11/22/1994	Eberbach			
	5,368,602	11/29/1994	de la Torre			
	5,390,683	2/21/1995	Pisharodi			
	5,397,991	3/14/1995	Himpens et al.			
	5,500,000	3/19/1996	Feagin et al.			
	5,507,754	4/16/1996	Green et al.			
	5,531,759	7/2/1996	Kensey et al.			· · · · · · · · · · · · · · · · · · ·
	5,545,178	8/13/1996	Kensey et al.			
	5,549,617	8/27/1996	Green et al.			
,	5,562,689	10/8/1996	Green et al.			
	5,634,944	6/3/1997	Magram			
	5,645,597	7/8/1997	Krapiva			
	5,662,681	9/2/1997	Nash et al.			
	5,681,310	10/28/1997	Yuan et al.			
·	5,702,454	12/30/1997	Baumgartner			

INFORMATION DISCLOSURE CITATION

	INFORMATIO	N DISCLOSURE	CHAHON	OIPE
Atty. Docket No.	08442.0002-02	Appln. No.	10/075,615	TO SEE
Applicant	Joseph C. CAUTHEN et al.			MAY 20 2504 H
Filing Date	February 15, 2002	Group:	3738	J. J
				TO THE PERSON

	U.S. PATENT DOCUMENTS					
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,716,416	2/10/1998	Lin			
	5,733,337	3/31/1998	Carr, Jr. et al.			
	5,766,246	6/16/1998	Mulhauser et al.			_
	5,782,844	7/21/1998	Yoon et al.			
	5,782,860	7/21/1998	Epstein et el.			
	5,827,298	10/27/1998	Hart et al.			
	5,879,366	3/9/1999	Shaw et al.			
	5,904,703	5/18/1999	Gilson			
	5,916,225	6/29/1999	Kugel et al.			
	5,928,284	7/27/1999	Mehdizadeh			
	5,935,147	8/10/1999	Kensey et al.			
	5,954,767	9/21/1999	Pajotin et al.			
	5,972,022	10/26/1999	Huxel			
	5,976,174	11/2/1999	Ruiz			
	5,976,186	11/2/1999	Bao, Higham			
	6,066,776	5/23/2000	Goodwin et al.			
	6,140,452	10/31/2000	Felt et al.			
	6,171,318	1/9/2001	Kugel et al.			· · · · · · · · · · · · · · · · · · ·
	6,280,453	8/28/2001	Kugel et al.			
	6,452,924	7/30/2002	Rousseau			
	6,428,576	8/6/2002	Haldimann			
· · · · · ·	6,447,531	9/10/2002	Amplatz	<u></u>		. ,
	6,508,828	1/21/2003	Akerfeldt et al.			
	6,530,933	3/11/2003	Yeung et al.			* -

Customer No. 22,852

INFORMATION DISCLOSURE CITATION

•	INFORMATIO	N DISCLOSURE	CHAHON	101	PE	
Atty. Docket No.	08442.0002-02	Appln. No.	10/075,615	/	- C.	
Applicant	Joseph C. CAUTHEN et al.	•		YAM ç	20 2004	
Filing Date	February 15, 2002	Group:	3738	1	100	
	· · · · · · · · · · · · · · · · · · ·			۵ در پ	Daily Free	

 FOREIGN PATENT DOCUMENTS					
Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
95/31946	11/30/1995	wo			
 97/20874	6/12/1997	wo			
99/02108	1/21/1999	wo			
01/28464	4/26/2001	wo			
02/17825	3/7/2002	wo			

	OTHER DOCUMENTS (Including Au	thor, Title, Date, Pertinent Pages, Etc.)

Examiner		Date Considered
*Examiner:		ot citation is in conformance with MPEP 609; draw line ot considered. Include copy of this form with next
Form PTO 14	149 Patent and	Trademark Office - U.S. Department of Commerce

404757v1

100